

Docket No:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>In re application of:</b> <b>Christopher Louis Capps, Sean Dunne, William J. Noonan and Pilip Jay Yeskel</b>	
<b>Serial No.:</b>	<b>Group Art Unit:</b>
<b>Filed:</b> <b>April 19, 2004</b>	<b>Examiner:</b>
<b>Title:</b> <b>Method and System for Transactional Log Processing</b>	

INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(b))

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

<b>EXPRESS MAIL CERTIFICATE</b>	
I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.	
Date of Deposit:	<u>April 19, 2004</u>
Person mailing paper/fee:	<u>Michael J. Buchenhorner</u>
Signature:	<u>Michael J. Buchenhorner</u>

Respectfully Submitted,

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Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. <b>SVL920030108US1</b>		Serial No.	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Applicant (s): <b>Christopher Louis Capps, et al.</b>			
				Filing Date: <b>April 19, 2004</b>		Group Art Unit:	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AA	US2001/0032128A1	10/18/2001	Jonathan Kepecs	705	14	12/22/00
	AB	US6,330,543B1	12/11/2001	Jonathan Kepecs	705	14	11/13/98
	AC	4,468,750	08/28/1984	Martin E. Chamoff	364	900	01/04/80
	AD	4,328,544	05/04/1982	Harry L. Baldwin	364	405	04/04/90
	AE	4,266,271	05/05/1981	Martin E. Chamoff	364	200	10/10/78
	AF						
	AG						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BA	WO 01/46892 A1	06/28/2001	PCT	G06F	17/60	No
	BB	WO 99/26176	05/27/1999	PCT	G06F	17/60	No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	CA						
	CB						
	CC						
	CD						
	CE						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).							